

FACTORING COMPACT OPERATORS

BY

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ABSTRACT

We consider the following problem: Does there exist a separable Banach space Z such that every compact operator can be factored as a product TS with T, S compact, $\text{range } S = \text{Domain } T = Z$? Our investigation yields a reasonable partial solution to this problem as well as the following independent result: A Banach space which has the λ -metric approximation property can be embedded as a complemented subspace of a π_λ space.

1. Introduction

Let X, Y , and Z be Banach spaces and let $C(X, Y)$ be the uniform closure in the space of bounded linear operators from X to Y of the set $X^* \otimes Y$ of continuous linear operators of finite rank from X to Y . We note that $C(X, Y)$ is the space of compact operators from X to Y if either X^* or Y has the approximation property. An operator T in $C(X, Y)$ is said to factor compactly through Z (written $T \in C_Z(X, Y)$) provided there are $A \in C(X, Z)$ and $B \in C(Z, Y)$ such that $BA = T$. (A, B) is then said to be a compact factorization of T through Z .

It turns out that under certain conditions on Z , there is a natural norm on $C_Z(X, Y)$ which makes $C_Z(X, Y)$ into a Banach space (Proposition 1). The conditions on Z are fairly general; in particular, Z can be l_p , $L_p[0, 1]$, or a certain space isomorphic to $C[0, 1]$.

Proposition 1 and its variant Proposition 2 have several interesting consequences:

THEOREM 1. *There exists a family $\{C_p: 1 \leq p \leq \infty\}$ of pairwise totally incomparable separable Banach spaces each of which has the compact factorization property. (We say that Z has the compact factorization property if for each pair (X, Y) of Banach spaces, $C(X, Y) = C_Z(X, Y)$. X and Y are totally incomparable [11] provided no infinite dimensional subspace of X is isomorphic to a subspace of Y .)*

THEOREM 2. *Let $1 \leq p \leq \infty$. A Banach space X is an \mathcal{L}_p space (if $p = 1$ or ∞) or either an \mathcal{L}_p space or an \mathcal{L}_2 space (if $1 < p < \infty$) if and only if for each Banach space Y , $C(Y, X) = C_{l_n}(Y, X)$. (See [3] and [4] for the definition and properties of \mathcal{L}_p spaces.)*

THEOREM 3. *Suppose that either X is reflexive or that X^* is separable, let $1 \leq p < \infty$, and let Y be an \mathcal{L}_p space. Each p -absolutely summing operator from X to Y admits a compact factorization (A, B) through l_p such that A is p -absolutely summing. (See [10], [9], or [3] for the definition and properties of p -absolutely summing operators.)*

The spaces C_p mentioned in Theorem 1 also arise naturally in another context.

THEOREM 4. *Suppose that X has the λ -metric approximation property for some $\lambda \geq 1$ and let $1 \leq p \leq \infty$. Then $X \oplus C_p$ is a $\pi_{\lambda'}$ space for some $\lambda' \geq 1$. (The fact that we shall need is that X has the $\lambda + \varepsilon$ metric approximation property for every $\varepsilon > 0$ [resp. X is a $\pi_{\lambda+\varepsilon}$ space for every $\varepsilon > 0$] if and only if for each finite dimensional subspace E of X and $\varepsilon > 0$ there exists an operator [resp. projection] T of finite rank on X such that $\|T\| \leq \lambda + \varepsilon$ and $T(x) = x$ for each $x \in E$. See [2], especially Lemma [3], [1], and references therein.)*

Note that it is a consequence of Theorem 4 that a Banach space has the λ -metric approximation property for some $\lambda \geq 1$ if and only if it can be embedded isomorphically as a complemented subspace of a $\pi_{\lambda'}$ space for some $\lambda' \geq 1$.)

Generally our notation follows that of [3]. We assume all Banach spaces are real, but there are no difficulties in passing to the complex case. Our main departure from standard notation lies in the use of the symbol l_∞ for the space usually denoted by c_0 . "Operator" always means "bounded linear operator"; "subspace" means "closed subspace". The range (respectively, nullspace) of an operator, L , is denoted by $\mathcal{R}(L)$ (respectively, $\ker L$). If L is an operator on X and Y is a subspace of X , the restriction of L to Y is denoted by $L|_Y$. "sp A " means "linear span of A "; "cl" is used for the topological closure operation. If X and Y are isomorphic Banach spaces, then $d(X, Y) = \inf \{\|T\| \|T^{-1}\| : T \text{ is an isomorphism from } X \text{ onto } Y\}$. We abbreviate "if and only if" to "iff".

2. $C_Z(X, Y)$ is a Banach space

For $1 \leq p < \infty$, let l_p be the Banach space of all real sequences $\{x_i\}_{i=1}^\infty$ such that $\|\{x_i\}_{i=1}^\infty\|_p = (\sum_{i=1}^\infty |x_i|^p)^{1/p} < \infty$. Let l_∞ be the Banach space of all

real sequences $\{x_i\}_{i=1}^\infty$ which converge to 0 with the norm $\|\{x_i\}_{i=1}^\infty\|_\infty = \sup\{\|x_i\|_{i=1}^\infty\}$. Thus l_∞ is the Banach space which is usually denoted by c_0 . We use the symbol l_∞ instead of c_0 so as to simplify the notation in several of the theorems.

Let $\{Z_i\}_{i=1}^\infty$ be a sequence of Banach spaces and let $1 \leq p \leq \infty$. We use the symbol $\sum_p Z_i$ or $(Z_1 \oplus Z_2 \oplus Z_3 \oplus \cdots)_p$ to denote the Banach space of all sequences $\{z_i\}_{i=1}^\infty$ such that $z_i \in Z_i$ and $\{\|z_i\|\}_{i=1}^\infty \in l_p$, where $\|\{z_i\}_{i=1}^\infty\| = \|\{\|z_i\|\}_{i=1}^\infty\|_p$. If each Z_i is isometric to Z , we sometimes write $\sum_p Z_i$ as $\sum_p Z$.

In this section we assume that $Z \cong \sum_p Z$, where " $X \cong Y$ " means that X and Y are isometric Banach spaces. In particular, Z can be l_p ($1 \leq p \leq \infty$) or $L_p[0,1]$ ($1 \leq p < \infty$). It is easily seen that if Z is any Banach space, then $\sum_p(\sum_p Z) \cong \sum_p Z$. It is known that if S is a compact metric space, then $C(S)$ is isomorphic to $\sum_\infty C(S)$ (see, e.g., [7]). Thus Z can be a space isomorphic to $C(S)$; namely, let Z be $\sum_\infty C(S)$.

In order to prove that $C_Z(X, Y)$ can be made into a Banach space when $Z \cong \sum_p Z$ we need one well-known lemma.

LEMMA 1. Let k_1 and k_2 be positive numbers, let $1 < p < \infty$, and let $1/p + 1/q = 1$. Then there are positive numbers a_1, a_2, b_1 and b_2 such that $a_1 b_1 = k_1$, $a_2 b_2 = k_2$, and $(a_1^p + a_2^p)^{1/p} (b_1^q + b_2^q)^{1/q} = k_1 + k_2$.

PROOF. Set $a_1 = k_1^{q/(p+q)}$, $a_2 = k_2^{q/(p+q)}$, $b_1 = k_1^{p/(p+q)}$, $b_2 = k_2^{p/(p+q)}$. Q.E.D.

PROPOSITION 1. Let X, Y , and Z be Banach spaces, let $1 \leq p \leq \infty$, and suppose that $Z \cong \sum_p Z$. Then $C_Z(X, Y)$ is a Banach space under the norm $\|L\|_Z = \inf\{\|A\| \|B\| : (A, B) \text{ is a compact factorization of } L \text{ through } Z\}$.

PROOF. $C_Z(X, Y)$ is obviously closed under scalar multiplication, so to show that $C_Z(X, Y)$ is a linear space, it is sufficient to show that whenever L_1 and L_2 are in $C_Z(X, Y)$, then also $L_1 + L_2$ is in $C_Z(X, Y)$. Write $Z = (Z_1 \oplus Z_2 \oplus Z_3 \oplus \cdots)_p$, where $Z_i \cong Z$. Let P_i be the (norm 1) projection of Z onto Z_i with $\ker P_i = \text{clsp } \cup_{j \neq i} Z_j$. Let (A_1, B_1) be a compact factorization of L_1 through Z_1 and let (A_2, B_2) be a compact factorization of L_2 through Z_2 . Then $(A_1 + A_2, B_1 P_1 + B_2 P_2)$ is a compact factorization of $L_1 + L_2$ through Z .

We next show that $\|\cdot\|$ is a norm. The only difficulty lies in showing that $\|\cdot\|_Z$ satisfies the triangle inequality. Let L_1 and L_2 be in $C_Z(X, Y)$. We can assume that neither L_1 nor L_2 is the zero operator. Let $\varepsilon > 0$. For $i = 1, 2$, let (A_i, B_i) be a compact factorization of L_i through Z_i such that $\|A_i\| \|B_i\| \leq \|L_i\|_Z + \varepsilon/2$. Let $k_i = \|A_i\| \|B_i\|$. Note that by multiplying A_i by λ_i and B_i by $1/\lambda_i$, $\|A_i\|$ and $\|B_i\|$ are constrained only by the condition that $\|A_i\| \|B_i\| = k_i$.

Now $L_1 + L_2 = (B_1P_1 + B_2P_2)(A_1 + A_2)$, so $\|L_1 + L_2\|_Z \leq$

$$\|B_1P_1 + B_2P_2\| \cdot \|A_1 + A_2\|.$$

If $p = \infty$, $\|A_1 + A_2\| = \max\{\|A_1\|, \|A_2\|\}$. Thus if we set $\|A_1\| = \|A_2\|$, then $\|L_1 + L_2\|_Z \leq (\|B_1\| \|P_1\| + \|B_2\| \|P_2\|) \|A_1\| =$

$$\|B_1\| \|A_1\| + \|B_2\| \|A_2\|$$

$$\leq \|L_1\|_Z + \|L_2\|_Z + \varepsilon.$$

If $p = 1$, then $\|B_1P_1 + B_2P_2\| = \max\{\|B_1\|, \|B_2\|\}$. Thus if we set $\|B_1\| = \|B_2\|$ then

$$\|L_1 + L_2\|_Z \leq \|B_1\| (\|A_1\| + \|A_2\|) = \|B_1\| \|A_1\| + \|B_2\| \|A_2\| \leq \|L_1\|_Z + \|L_2\|_Z + \varepsilon.$$

We now turn to the case where $1 < p < \infty$. For $i = 1, 2$, let $b_i = \|B_i\|$ and let $a_i = \|A_i\|$. Then $\|A_1 + A_2\| \leq (a_1^p + a_2^p)^{1/p}$ and $\|B_1P_1 + B_2P_2\| \leq \sup\{b_2\alpha + b_1(1 - \alpha^p)^{1/p} : 0 \leq \alpha \leq 1\} = (b_2^q + b_1^q)^{1/q}$, where $1/p + 1/q = 1$. Thus $\|L_1 + L_2\|_Z \leq (a_1^p + a_2^p)^{1/p} (b_1^q + b_2^q)^{1/q}$. By Lemma 1, we can choose a_i and b_i so that $(a_1^p + a_2^p)^{1/p} (b_1^q + b_2^q)^{1/q} = k_1 + k_2$. Thus

$$\|L_1 + L_2\|_Z \leq k_1 + k_2 \leq \|L_1\|_Z + \|L_2\|_Z + \varepsilon.$$

This completes the proof that $\|\cdot\|_Z$ is a norm on $C_Z(X, Y)$.

Finally we show that $C_Z(X, Y)$ is complete. It is sufficient to show that if $\{L_i\}_{i=1}^\infty$ is a sequence in $C_Z(X, Y)$ such that $\|L_i\|_Z \leq (\frac{1}{2})^{2i}$, then $\sum_{i=1}^\infty L_i$ is $\|\cdot\|_Z$ -convergent. Now $\|L\|_Z \geq \|L\|$ for all $L \in C_Z(X, Y)$, so there is $L \in C(X, Y)$ such that $\lim_{n \rightarrow \infty} \|L - \sum_{i=1}^n L_i\| = 0$. For each i , let (A_i, B_i) be a compact factorization of L_i through Z_i such that $\|A_i\| \|B_i\| \leq \|L_i\|_Z + (\frac{1}{2})^{2i}$ and $\|A_i\| = \|B_i\|$. Note that $\sum_{i=1}^\infty \|A_i\| = \sum_{i=1}^\infty \|B_i\| < \infty$, so $A = \sum_{i=1}^\infty A_i$ and $B = \sum_{i=1}^\infty B_i P_i$ exist. Clearly $BA = L$, so L is in $C_Z(X, Y)$. Furthermore,

$$\|L - \sum_{i=1}^n L_i\|_Z = \left\| \left(\sum_{i=n+1}^\infty B_i P_i \right) \left(\sum_{i=n+1}^\infty A_i \right) \right\|_Z \leq \left(\sum_{i=n+1}^\infty \|B_i\| \right) \left(\sum_{i=n+1}^\infty \|A_i\| \right) \rightarrow 0.$$

This shows that $\sum_{i=1}^\infty L_i$ $\|\cdot\|_Z$ -converges to L .

Q.E.D.

For Banach spaces X, Y and Z and $1 \leq p < \infty$ let $\pi_Z^p(X, Y)$ be the collection of all operators L from X to Y which admit a compact factorization (A, B) through Z with A p -absolutely summing and let $\pi_Z^p(L) = \inf\{\pi^p(A) \|B\| : (A, B) \text{ is a compact factorization of } L \text{ through } Z\}$. Here $\pi^p(A)$ is the p -absolutely summing norm of A ; i.e., $\pi^p(A) = \sup\{[\sum_{i=1}^n \|A(x_i)\|^p]^{1/p} : \{x_i\}_{i=1}^n \subset X \text{ and for each } x^* \in X^*, [\sum_{i=1}^n |x^*(x_i)|^p] \leq \|x^*\|^p\}$.

PROPOSITION 2. Let X, Y , and Z be Banach spaces, let $1 \leq p < \infty$, and suppose that $Z \cong \sum_p Z$. Then $\pi_Z^p(X, Y)$ is a Banach space under the norm π_Z^p .

We omit the proof of Proposition 2 because it is very similar to the proof of Proposition 1. The only difficulty in the proof is to show that π_Z^p satisfies the triangle inequality. This step follows from the following simple fact: Let each of $L: X \rightarrow Y$ and $M: X \rightarrow Z$ be p -absolutely summing. Define $N: X \rightarrow (Y \oplus Z)_p$ by $N(x) = (L(x), M(x))$. Then $\pi^p(N) \leq ([\pi^p(L)]^p + [\pi^p(M)]^p)^{1/p}$.

REMARK. If $Z \cong \sum_p Z$, then $\|\cdot\|_Z$ and π_Z^p are uniform cross norms (see [12]) on $X^* \otimes Y$ and thus both $C_Z(X, Y)$ and $\pi_Z^p(X, Y)$ are completed tensor products of X^* and Y .

3. Applications

Let $\{G_i\}_{i=1}^\infty$ be a sequence of finite dimensional Banach spaces such that

i) if E is a finite dimensional Banach space and $\varepsilon > 0$ then there exists i such that $d(E, G_i) < 1 + \varepsilon$; and

ii) for each positive integer i there exists an infinite subset J of the positive integers such that $G_i \cong G_j$ for each $j \in J$.

For $1 \leq p \leq \infty$, let $C_p = \sum_p G_i$. Because of (ii), $C_p \cong \sum_p C_p$. We note that C_p is essentially unique in the sense that if $\{G_i'\}_{i=1}^\infty$ is another sequence of finite dimensional spaces which satisfies (i) and (ii), then $d(C_p, \sum_p G_i') = 1$. It is easy to show that each infinite dimensional subspace of C_p contains a subspace isomorphic to l_p , so C_p and C_r are totally incomparable if $p \neq r$.

PROOF OF THEOREM 1. Suppose $T \in X^* \otimes Y$. Choose i so that $d(\mathcal{R}(T), G_i) < 2$ and let L be an isomorphism of $\mathcal{R}(T)$ onto G_i such that $\|L\| = 1$, $\|L^{-1}\| \leq 2$. Define $A: X \rightarrow C_p$ by $A = LT$ and define $B: C_p \rightarrow Y$ by $B = L^{-1}P_i$, where P_i is the natural norm 1 projection of C_p onto G_i . Clearly $BA = T$ and $\|A\| \|B\| \leq 2 \|T\|$. Thus $\|\cdot\|_{C_p}$ is equivalent to the operator norm on $X^* \otimes Y$ and since $X^* \otimes Y$ is dense in $C(X, Y)$, it follows from Proposition 1 that $C_{C_p}(X, Y) = C(X, Y)$. Q.E.D.

PROOF OF THEOREM 2. Suppose first that X is an \mathcal{L}_p space and choose $\lambda \geq 1$ so that X is an $\mathcal{L}_{p, \lambda}$ space. Let $T \in Y^* \otimes X$ and choose a finite dimensional subspace E of X and a positive integer n so that $d(E, l_p^n) \leq \lambda$ and $\mathcal{R}(T) \subset E$. Let L be an isomorphism of E onto the span of the first n vectors, $\{\delta_i\}_{i=1}^n$, of the

usual basis for l_p such that $\|L\| = 1$, $\|L^{-1}\| \leq \lambda$ and let P be the natural norm 1 projection of l_p onto $\text{sp}\{\delta_i\}_{i=1}^n$. Then $(LT, L^{-1}P)$ is a compact factorization of T through l_p and $\|T\|_{l_p} \leq \|LT\| \|L^{-1}P\| \leq \lambda \|T\|$. Thus $\|\cdot\|_{l_p}$ is equivalent to the operator norm on $Y^* \otimes X$ and as in Theorem 1, $C_{l_p}(Y, X) = C(Y, X)$.

Now if $1 < p < \infty$ and X is an \mathcal{L}_2 space then X is isomorphic to a complemented subspace of an \mathcal{L}_p space (see [3]) so that the desired conclusion follows from the first part of the proof.

To go the other way, let $1 \leq r \leq \infty$ and suppose that $C(C_r, X) = C_{l_p}(C_r, X)$. By the open mapping theorem it follows that there exists a number k such that $\|T\|_{l_p} \leq k \|T\|$ for all $T \in C(C_r, X)$. Let E be a finite dimensional subspace of X , choose i so that $d(E, G_i) < 2$, and let L be an isomorphism of G_i onto E so that $\|L\| = 1$, $\|L^{-1}\| \leq 2$. Let P_i be the natural norm 1 projection of C_r onto G_i and let (A, B) be a compact factorization of LP_i through l_p so that $\|A\| \|B\| \leq k + 1$. Note that $\|AL^{-1}\| \|B\| \leq 2(k+1)$ and BAL^{-1} is the identity on E . The desired conclusion now follows from Theorem 4.3 of [4]. Q.E.D.

REMARK. Let $1 \leq p < \infty$ and let X be an \mathcal{L}_p space, or let $p = \infty$ and let X be a complemented subspace of $C(S)$ for some compact Hausdorff space S . Assume X is infinite dimensional and note that X has the approximation property by [4, Theorem 3]. Now if $1 \leq p < \infty$, X contains a complemented subspace isomorphic to l_p [3, Proposition 7.3], so that by Theorem 2 every compact operator into X factors compactly through X . If $p = \infty$, then by [6, Theorem 5] X contains a subspace Z isomorphic to l_∞ and hence if T is a compact operator into X , T admits a compact factorization (A, B) through Z . Now B can be extended to a compact operator \tilde{B} from X into X by [4, Theorem 4.1], hence T factors compactly through X . This remark generalizes some results stated by Milman in [5].

PROOF OF THEOREM 3. The hypothesis guarantees that $X^* \otimes Y$ is π^p -dense in the p -absolutely summing operators from X to Y (see [8]). The proof now proceeds along the same lines as the proofs of Theorems 1 and 2. Q.E.D.

PROOF OF THEOREM 4. Let $Y = (X \oplus C_p)_\infty$ and let F be a finite dimensional subspace of Y . A density argument (see, e.g., [2, Lemma 3]) shows that we can assume that $F \subset E \oplus \text{sp} \bigcup_{i=1}^n G_i$ with E a finite dimensional subspace of X . Let T be an operator of finite rank on X such that $T|_E$ is the identity on E and $\|T\| \leq \lambda + 1$. Let $G = \mathcal{H}((I - T)T)$, choose $m > n$ so that $d(G, G_m) < 2$ and

let L be an isomorphism of G onto G_m so that $\|L\| = 1$, $\|L^{-1}\| < 2$. Let P be the natural norm 1 projection of C_p onto $\text{sp } \bigcup_{i=1}^n G_i$ and let P_m be the natural norm 1 projection of C_p onto G_m . Define $S: Y \rightarrow Y$ by

$$S(x, y) = (T(x) + L^{-1}P_m(y), L(I - T)T(x) + L(I - T)L^{-1}P_m(y) + P(y)).$$

(i) If $x \in E$, then $T(x) = x$ and $(I - T)T(x) = 0$, so $S(x, 0) = (x, 0)$. If $y \in \text{sp } \bigcup_{i=1}^n G_i$, then $P(y) = y$ and $P_m(y) = 0$, so $S(0, y) = (0, y)$. Thus $S|_{E \oplus \text{sp } \bigcup_{i=1}^n G_i}$ is the identity.

$$\begin{aligned} \text{(ii) If } x \in X, SS(x, 0) &= S(T(x), L(I - T)T(x)) \\ &= (TT(x) + (I - T)T(x), L(I - T)T(T(x)) + L(I - T)(I - T)T(x) + 0) \\ &= (T(x), L(I - T)T(x)) = S(x, 0). \end{aligned}$$

$$\begin{aligned} \text{If } y \in C_p, SS(0, y) &= S(L^{-1}P_m(y), L(I - T)L^{-1}P_m(y) + P(y)) \\ &= (TL^{-1}P_m(y) + (I - T)L^{-1}P_m(y) + 0, L(I - T)TL^{-1}P_m(y) \\ &\quad + L(I - T)(I - T)L^{-1}P_m(y) + 0 + 0 + PP(y)) \\ &= (L^{-1}P_m(y), L(I - T)L^{-1}P_m(y) + P(y)) = S(0, y). \end{aligned}$$

Thus S is a projection.

(iii) $\|S\| \leq \max\{(\lambda + 1) + 2, (\lambda + 2)(\lambda + 1) + (\lambda + 2)2 + 1\} \equiv \lambda'$. Combining (i), (ii), and (iii) with the obvious fact that S has finite rank, we conclude that Y is a $\pi_{\lambda'}$ space. Q.E.D.

Problem. Suppose that X has the $1 + \varepsilon$ -metric approximation property for every $\varepsilon > 0$. Then is $(X \oplus C_p)_\infty$ a $\pi_{1+\varepsilon}$ space for every $\varepsilon > 0$?

The reason that this problem is interesting is that it is known (see [1]) that a separable space which is a $\pi_{1+\varepsilon}$ space for every $\varepsilon > 0$ must admit a Schauder decomposition into finite dimensional subspaces, while it is unknown for general λ whether a separable π_λ space must admit such a decomposition.

Added in Proof. Theorem 4 and the results of [13] show that if X is separable and X^* has the λ -metric approximation property for some $\lambda \geq 1$, then $X \oplus C_p$ has a Schauder basis; moreover, if also X^* is separable and $1 < p \leq \infty$, then $X \oplus C_p$ has a shrinking Schauder basis. To see this, note first that $(X \oplus C_p)^*$ is a $\pi_{\lambda'}$ space for some $\lambda' \geq 1$. Indeed, if $1 < p \leq \infty$, this follows from Theorem 4 and the canonical isomorphism $(X \oplus C_p)^* = X^* \oplus C_q$, where $1/p + 1/q = 1$. (If $p = 1$, a simple modification of Theorem 4 is necessary.) It then follows from

Theorem 1.3 of [13] that $X \oplus C_p$ has a finite dimensional Schauder decomposition, which can be chosen to be shrinking if $(X \oplus C_p)^*$ is separable; i.e., if X^* is separable and $1 < p \leq \infty$. It then follows from the proof of Corollary 4.12 of [13] that there is a sequence $\{X_i\}_{i=1}^\infty$ of finite dimensional Banach spaces such that $(X \oplus C_p) \oplus \sum_p X_i$ has a Schauder basis, which can be taken to be shrinking if X^* is separable and $1 < p \leq \infty$. But clearly $C_p \oplus \sum_p X_i$ is isomorphic to C_p , hence $(X \oplus C_p) \oplus \sum_p X_i$ is isomorphic to $X \oplus C_p$. In particular:

A. The reflexive spaces C_p ($1 < p < \infty$) have Schauder bases and if X is any separable reflexive space which has the λ -metric approximation property for some $\lambda \geq 1$, then the reflexive space $X \oplus C_p$ has a Schauder basis.

B. The subspace C_∞ of l_∞ has a shrinking Schauder basis, and if X is any Banach space such that X^* is separable and has the λ -metric approximation property for some $\lambda \geq 1$, then $X \oplus C_\infty$ has a shrinking Schauder basis.

REMARKS. 1) It is noted in [13] that if X^* is separable and has the approximation property, then X^* has the 1-metric approximation property.

2) It seems likely that if X is separable and has the λ -metric approximation property for some $\lambda \geq 1$, then $X \oplus C_p$ has a Schauder basis, but I cannot prove this.

3) [14] and [15] contain results related to Theorem 4, A, and B above.

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